

Appendix

Historical Perspective of the Bay Area Alliance for Sustainable Communities

The Bay Area Alliance for Sustainable Communities (Bay Area Alliance) is a multi-stakeholder coalition established in 1997 to develop and implement an action plan that will lead to a more sustainable Bay Area. It is founded on the principle of the Three Es of Sustainable Development—prosperous economy, quality environment, and social equity—and embraces e-vision—a vision of the future which integrates the Three Es into a balanced, inclusive, collaborative approach to achieving a more sustainable region. The Bay Area Alliance adopted the definition of sustainable development endorsed by the United Nations' World Commission on Environment and Development: "Meeting the needs of the present without compromising the ability of future generations to meet their own needs."

In late 1996, Richard Clarke, retired Chairman and CEO of Pacific Gas & Electric Company and Michele Perrault, International Vice President of the Sierra Club, both members of the President's Council on Sustainable Development (PCSD), presented the idea of a regional sustainable development initiative to the General Assembly of the Association of Bay Area Governments (ABAG) and to representatives of business, environment, and social equity organizations in the Bay Area. In order to ensure that all Three Es and government were represented in the leadership of the Bay Area Alliance, Carl Anthony, Executive Director of the Urban Habitat Program (representing equity), Charlotte Powers, President of ABAG (representing government) and Sunne Wright McPeak, President and CEO of the Bay Area Council (representing business) were recruited to join Richard Clarke (representing economy) and Michele Perrault (representing environment). These five individuals constituted the original Steering Committee of the Bay Area Alliance. Mr. Clarke transitioned his leadership role to Mr. Robert Harris, Vice President Environmental Affairs, Pacific Gas & Electric Company. Ms. Powers transitioned her leadership role in the Bay Area Alliance as she transitioned her presidency of ABAG to her successors, most recently Gwen Regalia. The current Steering Committee are the individuals who signed the introductory letter in this document.

The first meeting of the Bay Area Alliance for Sustainable Communities was convened on March 31, 1997. At that time, and through 2002, the Bay Area Alliance for Sustainable Communities was known as the Bay Area Alliance for Sustainable Development. Quarterly meetings have been held since then. In order to carry out an adopted work plan and to develop the *Draft Compact* and ultimately this final *Compact*, the Bay Area Alliance established five working caucuses and six working groups to include a broad cross-section of perspectives and representation. The caucuses ensure ongoing input from the perspectives of each of the Three Es, local government, and local community-based sustainability initiatives. The caucuses are:

- Social Justice
- Environment
- Business-Employer and Economic Development
- Local Government/Regional Agencies
- Local/Sub-regional Sustainability Programs Roundtable

The working groups included balanced participation from each of the caucuses and provided initial input and recommendations for the *Draft Compact*. The working groups are:

- Housing, Jobs and Access
- Environmental Quality and Biodiversity
- Public Education and Media Strategy
- Tax and Fiscal Policy
- Sustainable Development Indicators
- Best Practices

More than 200 people have participated in the caucuses and working groups. Each member organization of the Bay Area Alliance, all of which are listed on the inside front cover, affirms the vision and direction of this *Compact for a Sustainable Bay Area*. However, this *Compact* is not an end, but to paraphrase Winston Churchill, merely the end of the beginning. Focus now turns to implementation.

AUG 16 1998

OMB No. 1545-0047

Form 990

Return of Organization Exempt From Income Tax

Under section 501(c) of the Internal Revenue Code (except black lung benefit trust or private foundation) or section 4947(a)(1) nonexempt charitable trust

1997

This Form is Open to Public Inspection

Department of the Treasury Internal Revenue Service

Note: The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 1997 calendar year, OR tax year period beginning , 1997, and ending , 19

B Check if:

Change of address

Initial return

Final return

Amended return (required also for State reporting)

C Name of organization: **Int'l. Council For Local Environmental Initiatives U.S.A., Inc.**

D Employer identification number: **04-3116623**

E State registration number

F Check If exemption application is pending

Please use IRS label or print or type. See Specific Instructions.

Number and street (or P.O. box if mail is not delivered to street address) Room/suite
do Michael K. Schaefer, CPA 185 Devonshire St. 1000

City or town, state or country, and ZIP+4
Boston, MA 02110

G Type of organization — Exempt under section 501(c) (3) (insert number) OR section 4947(a)(1) nonexempt charitable trust

Note: Section 501(c)(3) exempt organizations and 4947(a)(1) nonexempt charitable trusts MUST attach a completed Schedule A (Form 990).

H(a) Is this a group return filed for affiliates? Yes No

I If either box in H is checked "Yes," enter four-digit group exemption number (GEN) **N/A**

(b) If "Yes," enter the number of affiliates for which this return is filed: **N/A**

J Accounting method: Cash Accrual

(c) Is this a separate return filed by an organization covered by a group ruling? Yes No

Other (specify)

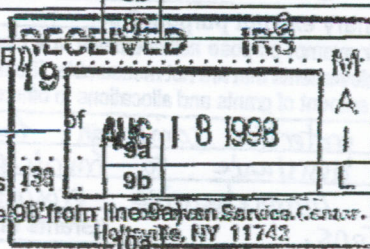
K Check here if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS; but if it received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return.

Note: Form 990-EZ may be used by organizations with gross receipts less than \$100,000 and total assets less than \$250,000 at end of year.

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See Specific Instructions on page 11.)

SCANNED OCT 23 1998

1 Contributions, gifts, grants, and similar amounts received:				
a	Direct public support	1a	184,027	
b	Indirect public support	1b		
c	Government contributions (grants)	1c	1,520,510	
d	Total (add lines 1a through 1c) (attach schedule of contributors) (cash \$ _____ noncash \$ _____)	1d	1,704,537	
2	Program service revenue including government fees and contracts (from Part VII, line 93)	2		
3	Membership dues and assessments	3		
4	Interest on savings and temporary cash investments	4	1,928	
5	Dividends and interest from securities	5		
6a	Gross rents	6a		
b	Less: rental expenses	6b		
c	Net rental income or (loss) (subtract line 6b from line 6a)	6c	0	
7	Other investment income (describe)	7		
8a	Gross amount from sale of assets other than inventory	(A) Securities	8a	
b	Less: cost or other basis and sales expenses	(B) Other	8b	
c	Gain or (loss) (attach schedule)			
d	Net gain or (loss) (combine line 8c, columns (A) and (B))	8d	0	
9	Special events and activities (attach schedule)			
a	Gross revenue (not including \$ _____ contributions reported on line 1a)	9a		
b	Less: direct expenses other than fundraising expenses	9b		
c	Net income or (loss) from special events (subtract line 9b from line 9a)	9c	0	
10a	Gross sales of inventory, less returns and allowances	10a		
b	Less: cost of goods sold	10b		
c	Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)	10c	0	
11	Other revenue (from Part VII, line 103)	11		
12	Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)	12	1,706,465	
13	Program services (from line 44, column (B))	13	1,388,864	
14	Management and general (from line 44, column (C))	14	242,053	
15	Fundraising (from line 44, column (D))	15		
16	Payments to affiliates (attach schedule)	16		
17	Total expenses (add lines 16 and 44, column (A))	17	1,630,917	
18	Excess or (deficit) for the year (subtract line 17 from line 12)	18	75,548	
19	Net assets or fund balances at beginning of year (from line 73, column (A))	19	45,159	
20	Other changes in net assets or fund balances (attach explanation)	20		
21	Net assets or fund balances at end of year (combine lines 18, 19, and 20)	21	120,707	



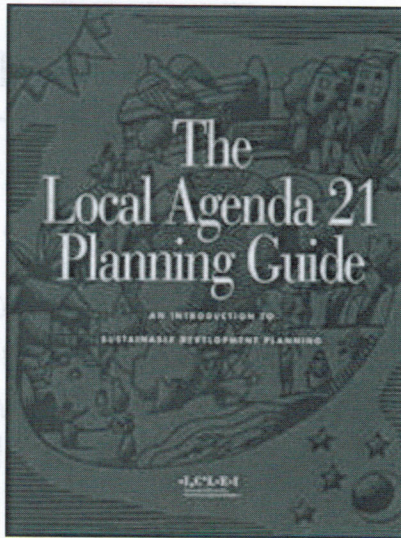
Part II Statement of Functional Expenses All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others. (See Specific Instructions on page 15.)

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I.		(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22	Grants and allocations (attach schedule) (cash \$ _____ noncash \$ _____)	22 370,089	370,089		
23	Specific assistance to individuals (attach schedule)	23			
24	Benefits paid to or for members (attach schedule)	24			
25	Compensation of officers, directors, etc.	25			
26	Other salaries and wages	26 523,788	428,737	95,051	
27	Pension plan contributions	27			
28	Other employee benefits	28			
29	Payroll taxes	29			
30	Professional fundraising fees	30			
31	Accounting fees / Professional	31 19,971		19,971	
32	Legal fees	32			
33	Supplies	33 2,990	2,938	52	
34	Telephone	34 19,268	15,574	3,694	
35	Postage and shipping	35 7,751	7,325	426	
36	Occupancy / Rent	36 9,350	9,350		
37	Equipment rental and maintenance	37 190		190	
38	Printing and publications	38 64,715	64,715		
39	Travel	39 215,933	210,118	5,815	
40	Conferences, conventions, and meetings	40			
41	Interest	41			
42	Depreciation, depletion, etc. (attach schedule)	42 11,662	7,360	4,302	
43	Other expenses (Itemize): a Management fee	43a 91,444		91,444	
b	Consultants / subcontractors	43b 252,301	246,406	5,895	
c		43c			
d	Miscellaneous	43d 22,465	7,452	15,013	
e		43e			
44	Total functional expenses (add lines 22 through 43) Organizations completing columns (B) - (D), carry these totals to lines 13 - 15	44 1,630,917	1,388,864	242,053	

Reporting of Joint Costs. — Did you report in column (B) (Program services) any joint costs from a combined educational campaign and fundraising solicitation? Yes No
 If "Yes," enter (i) the aggregate amount of these joint costs \$ _____; (ii) the amount allocated to Program services \$ _____; (iii) the amount allocated to Management and general \$ _____; and (iv) the amount allocated to Fundraising \$ _____

Part III Statement of Program Service Accomplishments (See Specific Instructions on page 18.)

What is the organization's primary exempt purpose? All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.)	Program Service Expenses (Required for 501(c)(3) and (4) orgs. and 4947(a)(1) trusts; but optional for others.)
a Cities for climate protection campaign funds to provide research, training and technical assistance to municipalities to help them develop and implement comprehensive programs for reducing carbon dioxide emissions. (Grants and allocations \$ _____)	1,288,620
b Local agenda 21 campaign: provide support to local cities and towns that are establishing participatory processes for sustainable development that address social, economic, and environmental concerns. (Grants and allocations \$ _____)	100,244
c _____ (Grants and allocations \$ _____)	
d _____ (Grants and allocations \$ _____)	
e Other program services (attach schedule) (Grants and allocations \$ _____)	
Total of Program Service Expenses (should equal line 44, column (B), Program services)	1,388,864



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